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NOTICE OF ALLOWANCE AND FEE(S) DUE

23850

7590

11/22/2010

KRATZ, QUINTOS & HANSON, LLP 1420 K Street, N.W. 4th Floor WASHINGTON, DC 20005 EXAMINER

ORTIZ CRIADO, JORGE L

ART UNIT PAPER NUMBER

2627

DATE MAILED: 11/22/2010

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/594,948 | 07/30/2007 | Jun Suzuki | 060737 | 5761 |

TITLE OF INVENTION: ACTUATOR FOR PICKUP, PICKUP DEVICE, RECORDING MEDIUM DRIVE DEVICE, AND METHOD OF

MANUFACTURING ACTUATOR FOR PICKUP

| I | APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| | nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 02/22/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

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| 1420 K Street, N 4th Floor | | N, LLP | | I here State addre trans | eby certify that thi s Postal Service w essed to the Mail mitted to the USPI | s Fee(s ith suf Stop O (57 | s) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the definition of the desired for the desir | deposited wi t class mail ir above, or be tte indicated b | th the United 1 an envelope ing facsimile pelow. |
| WASHINGTON | I, DC 20005 | | | | | | | (I | Depositor's name) |
| | | | | | | | | | (Signature) |
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| 10/594,948 | 07/30/2007 | | Jun Suzuki | | | | 060737 | 576 | 51 |
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| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE D | UE | PREV. PAID ISSUE | FEE | TOTAL FEE(S) DUE | DAT | E DUE |
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| ORTIZ CRIAI | OO, JORGE L | 2627 | 369-044150 | | | | | | |
| Change of correspondence address or indication of "Fee Address" (37 FR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | (1) the names of u or agents OR, altern (2) the name of a s registered attorney | of a single firm (having as a member a orney or agent) and the names of up to atent attorneys or agents. If no name is | | | | | |
| PLEASE NOTE: Unl recordation as set forth (A) NAME OF ASSIG | ess an assignee is ident h in 37 CFR 3.11. Comp GNEE | A TO BE PRINTED ON The ified below, no assignee oletion of this form is NO the categories (will not be presented to the prese | data will appear on th T a substitute for filing (B) RESIDENCE: (C | ne pa g an a | tent. If an assigne ssignment. and STATE OR C | OUNT | RY) | | |
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| Publication Fee (No small entity discount permitted) | | | Payment by credit card. Form PTO-2038 is attached. | | | | | | |
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| application. Confident bmitting the completed is form and/or suggesti | tiality is governed by 35 I application form to the ons for reducing this but irginia 22313-1450. DC | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th ONOT SEND FEES OR | 1.14. This collection is depending upon the in e Chief Information Of | s esti ndivi fficer | mated to take 12 n dual case. Any con L.U.S. Patent and 1 | ninutes mment Fraden | to complete, including s on the amount of tire park Office, U.S. Depar | g gathering, p ne you require artment of Cou | reparing, and e to complete mmerce, P.O. |

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| 23850 7: | 590 11/22/2010 | | EXAM | INER | |
| KRATZ, QUINT | OS & HANSON, LI | ORTIZ CRIADO, JORGE L | | | |
| 1420 K Street, N.V | | | ART UNIT | PAPER NUMBER | |
| 4th Floor WASHINGTON, 1 | DC 20005 | | 2627 DATE MAILED: 11/22/201 | 0 | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 589 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 589 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

| | Application No. | Applicant(s) | |
|---|---|---|--|
| | | | |
| Notice of Allowability | 10/594,948 Examiner | SUZUKI, JUN Art Unit | |
| Notice of Amorrasinty | Examiner | Art offic | |
| | JORGE L. ORTIZ CRIADO | 2627 | |
| The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this a or other appropriate communicati IGHTS. This application is subject | application. If not included on will be mailed in due course. THIS | |
| 1. \boxtimes This communication is responsive to <u>communicatin filed or</u> | <u>10/29/2010</u> . | | |
| 2. X The allowed claim(s) is/are <u>1-4,9,10,12,13,15 and 17</u> . | | | |
| 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents | be been received. been received in Application No. | | |
| International Bureau (PCT Rule 17.2(a)). | | | |
| * Certified copies not received: | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | ly complying with the requirements | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | |
| (a) \square including changes required by the Notice of Draftspers | on's Patent Drawing Review(PT | O-948) attached | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | |
| (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date | s Amendment / Comment or in the | e Office action of | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | | | |
| | | | |
| Attachment(s) | | | |
| 1. Notice of References Cited (PTO-892) | 5. Notice of Informa | | |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948) | 6. ☐ Interview Summa Paper No./Mail [| Date | |
| 3. Information Disclosure Statements (PTO/SB/08), | 7. 🛛 Examiner's Amer | ndment/Comment | |
| Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit | | | |
| 9. | | | |
| /Jorge L Ortiz-Criado/ | | | |
| Primary Examiner, Art Unit 2627 | | | |
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EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Darren Crew on 11/05/2010.

The application has been amended as follows:

Claims 5-8, 11, 14, 16, and 18 have been canceled.

Allowable Subject Matter

Claims 1-4, 9, 10, 12, 13, 15 and 17 are allowed.

The following is an examiner's statement of reasons for allowance:

The claims drawn to an actuator for a pickup that with various claim limitations comprises <u>five or more</u> linear elastic members, the plurality of the linear elastic members is equal to one another in length dimension between the fixed portion and the movable portion, the ends of the plurality of the linear elastic members are located on a virtual circle formed on a plane by being projected onto a plane including both the focusing direction and the tracking direction, and

formed by aligning at the center of the translational forces of the linear elastic members with rolling the center of the linear elastic members and "at" least one of the center of gravity of the movable part and the center of the drive force of the movable part.

The various claimed limitations mentioned in the claims are not taught or suggested by the prior art taken either singly or in combination, with emphasize that it is each claim, taken as a whole, including the interrelationships and interconnections between various claimed elements make them allowable over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JORGE L. ORTIZ CRIADO whose telephone number is (571)272-7624. The examiner can normally be reached on Mon.-Fri 10:00 am- 6:30 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wayne R. Young can be reached on (571) 272-7582. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 2627

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jorge L Ortiz-Criado/ Primary Examiner, Art Unit 2627